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Legal documentation

Complaints Handling Policy

Complaints Handling Policy - 2020

Updated: 02-2020

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Application of MiFID directive

Conflict of interest prevention and management policy - Amundi

Updated: 07-2020

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Amundi - Selection and execution policy - 2020

Updated: 01-2020

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Financial instruments and their risks

Updated: 01-2010


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Customers qualification
Updated: 01-2010

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Anti-Bribery and Corruption Policy
Updated: 03-2014

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Amundi Intermédiation - Rapport RTS28 Exercice 2020
Updated: 04-2021

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2021 Report of Amundi Intermediation - Top 5 counterparties
Updated: 04-2021

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Amundi Intermédiation - Selection and execution policy - 2020
Updated: 01-2020

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The **European Shareholder Rights Directive 2** requires asset managers to disclose publicly their engagement and voting policy. For several years, Amundi has choose to share these policies on its website with its investors. You will find below all the documents covering the Directive's requirements.

Amundi's **engagement policy** is articulated around three main axes: engagement for influence, ongoing engagement and engagement through voting. It is an essential part of Amundi's fiduciary duty and its role as responsible investor.

The monitoring of the investee companies is organized as follows, depending on the management platform concerned:

- For **active management strategy**: investment cases are discussed and documented by the equity research analysts. Buy rated stocks are followed based on frequent research updates. The investment case is based on the Research team company report, supported by Valuation & Model updates when required. Feedback of meetings with the Company, as other engagement actions by the Research team, are documented diligently
- For **passive management strategy**: monitoring is mainly done through the engagement and the voting at general meetings.
- For **Private Equity**: for investments in companies not listed on a regulated market, the managers in charge of the participation on behalf of the portfolios, actively monitor the investee companies and usually attend general assemblies. These votes are confidential and therefore cannot be publicly reported.

Information relating to the European Shareholder Rights Directive 2 is presented in the documents below and in the dedicated section "Voting policy".



Proxy voting policy

The exercise of voting rights and shareholder dialogue are essential elements of our fiduciary responsibility and our role as a responsible investor.

From 1996 onwards, Amundi established its own voting policy updated yearly in order to incorporate the best practices in corporate governance but also, since 2003, in social and environmental responsibility. Amundi decided to exercise the voting

rights for a very large majority of its funds under French and Luxembourg law in order to fulfill its responsibility as a shareholder.

Early in its commitment toward responsible investment, Amundi also implemented a shareholder dialogue process based on an alert system informing companies of the SBF120 index and a group of large European issuers of potential negative votes at their general meetings.

Voting and dialogue allow us to actively participate in the improvement of the practices of companies in which we invest.

Access to our proxy voting results

**2020 Pre-AGM Dialogue
and Voting Report From
Words to Actions**

Updated: 06-2021



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**2021 Voting Policy -
Amundi**

Updated: 03-2021



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**Letter Voting Policy -
2021**

Updated: 02-2021



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**Annexe votes - Voting
Appendix - 2020**

Updated: 05-2021



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**Stewardship Report -
2020**

Updated: 04-2021



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Enforcement of ESMA guidelines

The "guidelines on ETFs and other UCITS issues", published by the ESMA on December 18th 2013, came into force on February 18th 2013.

You'll find below the policy that applies to funds subject to these guidelines in terms of financial guarantees.

Counterparty risk - Collateral Risk Management Framework

Updated: 07-2020



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Remuneration Policy

2021 - Amundi Group - Remuneration Policy

Updated: 02-2021



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SFDR

Amundi Sustainable Finance Disclosure Regulation Statement

Updated: 06-2021



PDF

2021 Responsible Investment Policy - Amundi

Updated: 02-2021



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Article 173 of France's Law on Energy Transition

Article 173 - France's law on Energy Transition - 2019 Report

Updated: 07-2020



PDF

Amundi Report - Energy Transition Law 2018

Updated: 09-2019



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UK Modern Slavery Act

Modern Slavery Act - 2021

Updated: 09-2021



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Cross-Border Fund Distribution Regulation

Summary of Investors rights pursuant to art. 4(3) of the Cross-Border Fund Distribution Regulation

Cross-Border Fund Distribution Regulation

Updated: 08-2021



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