



## Supplier Responsibility Standard

# Conflict Minerals

### 1.0 Purpose

This Conflict Minerals Standard (“Standard”) supplements Apple’s Supplier Code of Conduct (the “Code”) by providing additional detail regarding the use of materials for **goods** sold to Apple or Apple’s Suppliers. Apple’s Suppliers must meet this Standard to be in compliance with the Code. The terms and conditions of the Code are incorporated in this Standard, and this Standard shall govern and be deemed to modify the Code if there are any conflicting provisions.

#### Definitions

**Goods** Any material, part, sub-component, component, or product that is to be incorporated into an Apple product.

### 2.0 Scope

This Standard applies to all Apple suppliers, their subcontractors, and their next-tier suppliers (each a “Supplier”; collectively, “Suppliers”) producing goods for use in Apple’s products or providing services in connection with Apple’s products or services. This Standard describes restrictions applicable to all goods to ensure that the materials, components and procurement and production processes for goods sold to Apple or Apple’s Suppliers comply with the provisions of the Apple Supplier Code of Conduct. Any questions regarding this Standard should be directed to the Supplier Responsibility Group at [conflictfree@apple.com](mailto:conflictfree@apple.com).

### 3.0 Supplier Code of Conduct Requirements

Suppliers shall exercise due diligence in accordance with the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (the “OECD Guidance”), on their entire supply chain with respect to the sourcing of all tantalum, tin, tungsten, and gold contained in their products, to determine whether those metals are from the Democratic Republic of the Congo (the “DRC”) or any adjoining country and, if so, to determine whether those metals directly or indirectly financed or benefited armed groups that are perpetrators of serious human rights abuses in the DRC or an adjoining country. Countries that adjoin the DRC are Angola, Burundi, Central African Republic, the Republic of the Congo, Rwanda, South Sudan, Tanzania, Uganda and Zambia.

Suppliers shall satisfy the reporting and sourcing requirements of this Standard. Apple reserves the right to audit Suppliers for compliance as described in the Apple Supplier Code of Conduct.

In addition to the requirements in this Standard, all goods must comply with the Apple Regulated Substances Specification, 069-0135, that defines additional restrictions on materials used in Apple’s products, accessories, packaging, and manufacturing processes.

## 4.0 Supplier Responsibility Standards

### 4.1 Conflict Minerals Restriction

Suppliers that produce goods containing tantalum, tin, tungsten, or gold must adopt a conflict minerals policy that is consistent with the Apple Supplier Code of Conduct and the OECD Guidance. Suppliers must distribute their conflict minerals policy to all of their subcontractors and suppliers that provide materials for the manufacture of goods.

Suppliers may only use tantalum, tin, tungsten or gold in goods if the Supplier can reasonably demonstrate that it has exercised due diligence in accordance with the OECD Guidance regarding the source and chain of custody of such metals, and satisfied the reporting and sourcing requirements of this Standard.

If the Supplier cannot reasonably demonstrate that (i) it has exercised due diligence in accordance with the OECD Guidance with respect to any tantalum, tin, tungsten or gold in any goods, and (ii) it has satisfied the reporting and sourcing requirements of this Standard, with respect to such affected metal(s), then the Supplier must not use the affected metal(s) in any goods.

The Supplier must provide to Apple reasonable documentary evidence of the Supplier's reporting, sourcing and due diligence activities, and shall make such records available to Apple upon request.

Suppliers must distribute this Standard to all procurement personnel and factory management for all of the Supplier's facilities producing goods and must ensure their facilities' compliance with the obligations and requirements in this Standard. Suppliers that wish to request support or additional training from Apple may contact [conflictfree@apple.com](mailto:conflictfree@apple.com).

### 4.2 Due Diligence

The OECD Guidance establishes a framework for exercising due diligence to determine the source and chain of custody of tantalum, tin, tungsten and gold. Relevant due diligence procedures include, but are not limited to those listed below, in addition to the specified reporting and sourcing requirements:

- Suppliers must map their supply chain back to the point at which the metal was processed by the mineral processor (typically a smelter or refiner). This must be reported to Apple annually by completing the Conflict-Free Sourcing Initiative's Conflict Minerals Reporting Template (the "CMRT") to identify the smelters and refiners and, where available, country of origin of the tantalum, tin, tungsten, or gold used by the Supplier. This report must include complete information with respect to all of the Supplier's subcontractors and suppliers and all metal smelters and refiners. Any changes or exceptions affecting the information disclosed in the CMRT within the regular annual reporting period must be promptly reported to Apple.
- Suppliers must only source tantalum, tin, tungsten and/or gold, and products and materials containing such metals, from smelters and refiners that (i) have been verified as "conflict-free" compliant, or (ii) have publicly demonstrated that they are progressing towards such conflict-free verification by an independent third-party organization or program recognized by Apple. A smelter can publicly demonstrate its progress towards conflict-free verification by engaging with an independent third-party audit program recognized by Apple and

taking meaningful, substantive steps to follow the program's requirements for achieving conflict free recognition, including to be publicly listed on its website(s). Recognized independent third-party audit programs currently include the Conflict-Free Sourcing Initiative's Conflict-Free Smelter Program, the London Bullion Market Association, and the Responsible Jewellery Council. Apple may recognize other organizations and programs from time to time by updating this Standard or by providing notice to Suppliers.

- If a Supplier becomes aware that it may have sourced tantalum, tin, tungsten or gold that is from the DRC or any adjoining country and that directly or indirectly financed or benefited armed groups that are perpetrators of serious human rights abuses in the DRC or an adjoining country, the Supplier must immediately notify Apple in writing at [conflictfree@apple.com](mailto:conflictfree@apple.com). Such notification must include reasonable tracking information to identify which goods may contain the affected metals. Additional information and procedures will be provided to the Supplier following such notification.
- Suppliers must promptly notify Apple of all failures to meet these reporting, sourcing, and due diligence requirements, including, without limitation, any delay in providing a completed CMRT on a timely basis.

For more information about Apple's Supplier Responsibility Program, visit [www.apple.com/supplier-responsibility](http://www.apple.com/supplier-responsibility).

© 2015 Apple Inc. All rights reserved. Apple and the Apple logo are trademarks of Apple Inc., registered in the U.S. and other countries. Other product and company names mentioned herein may be trademarks of their respective companies.